QUESTIONS/ANSWERS – RFP for Personal Trading Compliance System

1. Is TRS of IL looking to automate the approval process of any COI? If so, which conflicts could be automated? Outside Business Interests? Connected Persons and Relationships? TRS is looking to automate the approval process of COI using TRS portfolio holdings and possibly asset class manager list.

2. Are any integrations like single sign on or a human resource feed required? No.

3. Are you planning on migrating any data from your current solution? If so, what data you would like to migrate from your current solution? No, because we don't have a current solution.

4. Can a word version of the appendices be provided? Please download the PDF version of the RFP and convert it to Word if you would like the appendices to be in Word format. All bid submissions must be in Adobe Acrobat format.

5. How many employees are subject to the TRS Personal Trading Policy that require monitoring? Currently 60 employees.

6. Can TRS provide a list of brokers used by their aforementioned employees that should be in broker feed scope? Yes, as the RFP process moves forward.

7. Does TRS require any integrations with internal/external systems to automatically update restricted lists, HR system data, TRS investments, etc.? No.

8. Can TRS advise what data and how many years of historical data needs to be migrated? It is not necessary to migrate historical data, we would start with reporting as of December 31, 2024.

9. In reference to Question 8. 'Describe the reporting capabilities of the solution related to personal trading, attestations and disclosures. Are these reports configurable and able to be exported? If so, in what formats? Can the reports be scheduled? Please provide a sample of reports available.' - could TRS please confirm any specific data they would like to see in the sample reports? (e.g. Quarterly employee transactions reported, quarterly employee trade violations, annual completed certifications etc.) Yes, reports could be exported, current

reports are in Adobe PDF and Excel. Reports can be scheduled with reports of quarterly employee violations, annual completed certifications, etc.

10. Does TRS require disclosure and monitoring around other conflicts of interest areas, such as: Outside Business Activities, Private/Unlisted Investments, Political Contributions, Gifts & Entertainment, etc.? (Having this data in the same system will help raise potential areas of conflict when preclearance requests are managed.) TRS is looking to automate the approval process of COI using TRS portfolio holdings and possibly asset class manager list.

11. It is mentioned in the Personal Trading Policy that TRS covered employees must disclose Material Non-Public Information (MNPI) - does TRS require Control Room functionality that records, tracks and reports all aspects of MNPI/insider information or simply looking for restricted list functionality? Restricted list functionality.

12. How many covered employees does TRS anticipate to be users of the system? There are 60 covered employees that would need limited access with the ability to access their profile and add documents. There would be a few employees that would need read-only access and a few that would need full access to read and write.

13. Are any of the covered employees going to only require certifications (not personal trading)? Yes.

14. One-year initial terms are not an option for our organization; what impact will that have on TRS's consideration of our proposal? Please propose the term options that are available.